

PCT

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U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER
02754/0202263-US0

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)
10/522208
Not Assigned

INTERNATIONAL APPLICATION NO.
PCT/GB2003/003099

INTERNATIONAL FILING DATE
17 July 2003

PRIORITY DATE CLAIMED
17 July 2002

TITLE OF INVENTION PIPERIDINETRIOL DERIVATIVES AS INHIBITORS OF GLYCOSYL CERAMID
SYNTHASE

APPLICANT(S) FOR DO/EO/US Mezher H. Ali et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Return Receipt Postcard; Certificate of Express Mail; Affirmation of Priority Claim; Form PCT/IB/304; ~~Change of Correspondence Address~~ *Julia*



01305

22764 U.S. PTO

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U.S. APPLICATION NO. 10/522208 Not Yet Assigned		INTERNATIONAL APPLICATION NO. PCT/GB2003/003099		ATTORNEY'S DOCKET NUMBER 02754/0202263-US0	
21. The following fees are submitted:					
<input checked="" type="checkbox"/>	a) Basic national fee		\$300.00	\$	300.00
<input checked="" type="checkbox"/>	b) Examination fee		\$200.00	\$	200.00
<input checked="" type="checkbox"/>	c) Search fee		\$500.00	\$	500.00
TOTAL OF ABOVE CALCULATIONS =			\$1000.00	\$	1,000.00
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
38 - 100 =	/50 =		x \$250.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	29- 20 =	9	x 50.00	\$	450.00
Independent claims	2- 3 =	0	x	\$	0.00
MULTIPLE DEPENDENT CLAIM(s) (if applicable)			+	\$	
TOTAL OF ABOVE CALCULATIONS =				\$	1,450.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					
SUBTOTAL =				\$	1,450.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				+	\$
TOTAL NATIONAL FEE =				\$	1,450.00
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$
TOTAL FEES ENCLOSED =				\$	1,450.00
				Amount to be refunded:	\$
				Amount to be charged:	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>1,450.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>04-0100</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO: Paul F. Fehlner, Ph.D. DARBY & DARBY P.C. P.O. Box 5257 New York, New York 10150-5257 (212) 527-7665 CUSTOMER NUMBER: 07278					
			SIGNATURE: <u>MARIE COLLARCO</u> Paul F. Fehlner, Ph.D. <u>44885</u> NAME 35,135 REGISTRATION NUMBER		

Application No. (if known): Not Yet Assigned

Attorney Docket No.: 02754/0202263-US0

Certificate of Express Mailing Under 37 CFR 1.10

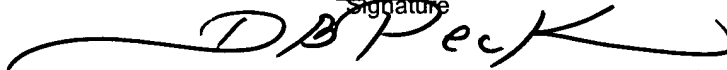
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Application Data Sheet (3 pages)
Return Receipt Postcard (1)
Preliminary Amendment (14 pages)
Transmittal Letter to the US Designated-Elected Office (2 pages)
Check in the amount of \$1,450.00
Affirmation of Priority Claim (1 page)
Form PCT/IB/304 (1 page)
~~Change of Correspondence Address (1 page)~~ *file*
International Application as Published (Specification - 34 pages; Claims - 4 pages)